

# Direct calculation of coupled diabatic potential-energy surfaces for ammonia and mapping of a four-dimensional conical intersection seam

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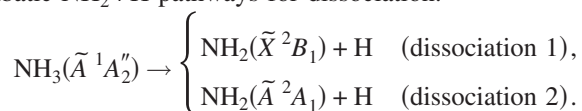
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We used multiconfiguration quasidenerate perturbation theory and the fourfold-way direct diabaticization scheme to calculate *ab initio* potential-energy surfaces at 3600 nuclear geometries of NH<sub>3</sub>. The calculations yield the adiabatic and diabatic potential-energy surfaces for the ground and first electronically excited singlet states and also the diabatic coupling surfaces. The diabatic surfaces and coupling were fitted analytically to functional forms to obtain a permutationally invariant 2×2 diabatic potential-energy matrix. An analytic representation of the adiabatic potential-energy surfaces is then obtained by diagonalizing the diabatic potential-energy matrix. The analytic representation of the surfaces gives an analytic representation of the four-dimensional conical intersection seam which is discussed in detail. © 2006 American Institute of Physics.

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## I. INTRODUCTION

The electronically excited states and photochemistry of ammonia have been studied extensively both theoretically<sup>1–9</sup> and experimentally.<sup>10–30</sup> Photodissociation of ammonia through the first singlet excited ( $\tilde{A}^1A_2''$ ) state is a prototype problem for nonadiabatic dynamics. The electronic ground state ( $\tilde{X}^1A_1$ ) has two  $C_{3v}$  minima connected by a low-frequency inversion mode with a  $D_{3h}$  saddle point. The excited state is quasibound with a trigonal planar  $D_{3h}$  minimum and a  $C_{2v}$  transition state in the N–H dissociation channel leading to NH<sub>2</sub>+H products. The ground electronic state has a conical intersection with the  $\tilde{A}$  excited singlet electronic state, leading to competition between the adiabatic and nonadiabatic NH<sub>2</sub>+H pathways for dissociation:



The ground electronic state of the NH<sub>2</sub> products ( $^2B_1$ ) correlates diabatically with the first excited ( $^1A_2''$ ) state of NH<sub>3</sub>, while the first excited state of NH<sub>2</sub> products ( $^2A_1$ ) correlates diabatically with the ground state ( $^1A_1$ ) of ammonia. For general planar geometries, the symmetry of the  $^1A_1$  ground state becomes  $^1A'$ , the symmetry of the  $^1B_1$  state becomes  $^1A''$ , and the adiabatic potential-energy surfaces cross at a four-dimensional conical intersection seam. (For the one-dimensional subspace the symmetries become  $^1A_1'$  and  $^1A_2''$ , respectively.) However, in general nonplanar geometries both the  $^1A'$  ( $^1A_1'$ ) and the  $^1A''$  ( $^1A_2''$ ) states have the same  $^1A$  symmetry, and nonplanar geometries have avoided crossings. The topographies of these coupled potential-energy surfaces are critical for the photofragmentation dynamics of the  $\tilde{A}$

state, which has been studied in recent state-selective experiments.<sup>25–30</sup>

In the present study we present coupled potential-energy surfaces for simulating the photofragmentation process. Traditionally, potential-energy surfaces are generated by computing the eigenstates of an electronic Hamiltonian for a grid of nuclear geometries and fitting the energies to a function of the nuclear coordinates. The coupling between the adiabatic potential-energy surfaces, which is needed for dynamical calculations, can then be obtained by calculating nuclear momentum matrix elements between the eigenstates. This vector coupling (commonly referred to as the nonadiabatic coupling) is a function of nuclear coordinates that can be rapidly varying in the regions of avoided crossings and singular at conical intersections.<sup>31,32</sup> When fitting surfaces in the adiabatic representation, it is difficult to ensure that singularities occur where the adiabats cross and that the slopes of the upper and lower adiabats are consistent at the multidimensional crossing, where these slopes are discontinuous.

Recently, a promising alternative was presented; this involves directly computing diabatic states based on configurational uniformity.<sup>33–36</sup> Diabatic states can be defined as states whose nuclear momentum vector coupling terms are negligibly small.<sup>32</sup> Strict diabatic states where these terms are zero do not exist in general since the nuclear momentum coupling terms cannot be made to simultaneously vanish in all nuclear coordinates over a finite region of space,<sup>37</sup> and therefore diabatic states are sometimes called quasidiabatic states, but we will use the shorter notation. In fact, one may divide the nuclear momentum coupling into a transverse part that cannot be transformed away and longitudinal part that can be.<sup>37</sup> The transverse part is not only nonzero in all representations, but far from a conical intersection it is not even smaller, in general, than the longitudinal part.<sup>37,38</sup> However, we can find diabatic bases where the nuclear momentum

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coupling is everywhere as small in order of magnitude as it is in conventional Born-Oppenheimer situations in which the ground state is widely separated from all the excited states.<sup>32,38</sup> Therefore, neglecting nuclear momentum coupling in such diabatic bases has the same validity as making the Born-Oppenheimer approximation when there are no low-lying states.<sup>38</sup> There is no unique way to find such diabatic states, but we will use the recently proposed fourfold way,<sup>34-36</sup> which involves first using multiconfiguration quasidegenerate perturbation theory (MC-QDPT),<sup>39,40</sup> then transforming to diabatic states on the basis of configurational uniformity.<sup>33,34</sup> Although the inconvenient nuclear momentum coupling can be neglected in a diabatic representation, the states are still coupled. However, the diabatic coupling is a smooth, nonsingular, scalar function and it is much more convenient to handle in dynamics calculations. The fourfold-way diabaticization scheme is called direct because, unlike most previous methods for finding diabatic states,<sup>33,34</sup> the fourfold way does not require following a path through configuration space.

This paper is organized as follows. The details of the *ab initio* calculations are described in Sec. II. The functional forms for fitting the six-dimensional diabatic surfaces and couplings are presented in Sec. III. Section IV includes a discussion of the quality of the fit and the procedure of diagonalizing the diabatic matrix to obtain adiabatic states. The conclusions are provided in Sec. V.

## II. AB INITIO ELECTRONIC STRUCTURE CALCULATIONS

The diabaticization scheme is presented in detail in previous papers.<sup>34-36</sup> In Sec. II.A, we summarize the key concepts and introduce the terminology to be used, and in Sec. II.B, we present the application of the general scheme to ammonia.

### II.A. Summary of the diabaticization procedure and theory

The two lowest-energy diabatic states  $\phi_1$  and  $\phi_2$  are obtained by an orthogonal transformation of the two lowest adiabatic states  $\psi_1$  and  $\psi_2$ :

$$\phi_k = \sum_{n=1}^2 T_{nk} \psi_n, \quad (1)$$

where  $T_{nk}$  is an element of the adiabatic/diabatic transformation matrix. Each adiabatic state is expressed as a linear combination of  $L$  orthonormal configuration state functions (CSFs) denoted by  $\chi_\alpha$ :

$$\psi_n = \sum_{\alpha=1}^L C_{an} \chi_\alpha, \quad (2)$$

where  $C_{an}$  is determined by a perturbation-theory calculation. In particular, we use multiconfiguration quasidegenerate perturbation theory<sup>39,40</sup> based on a complete active space self-consistent-field<sup>41</sup> (CASSCF) reference state. The CSFs are symmetry-adapted combinations of the Slater determinants built from canonical CASSCF molecular orbitals.

The first step in the diabaticization scheme is to define the weak-interaction regions and identify the potential reference geometries, i.e., geometries where the adiabatic states are well separated in energy, and the nonadiabatic coupling is negligible, so that the adiabatic states are found to be good approximations to the diabatic states. A potential reference geometry  $\mathbf{Q}^{\text{ref}}$  is expected to be dominated by a small number of CSFs that are assumed to be good prototypes for the diabatic states. The diabatic prototypes are required to have the following properties. (1) Each diabatic state  $\phi_k$  should be dominated by a unique group of CSFs called  $G_k$ . (2) The group list  $G_k$  should remain the same for all nuclear geometries.

A potential reference geometry that is used to determine the dominant CSF list is called a reference geometry. A system with more than one product arrangement requires reference geometries in each arrangement, and a consistent dominant CSF list for the entire system for all nuclear geometries is obtained by combining the CSF lists of all the reference geometries in each arrangement.<sup>35</sup> For the present application to  $\text{NH}_3$ , as discussed further below, we used six reference geometries.

A key aspect of the diabaticization procedure is configurational uniformity. Since diabatic states are smooth functions of coordinates, the molecular orbitals (MOs) participating in the dominant CSFs that define the diabatic prototypes should deform smoothly along paths in the nuclear coordinate space. Such smoothly varying MOs are called diabatic molecular orbitals (DMOs).

The determination of DMOs from the canonical CASSCF adiabatic MOs  $u_\mu$  used in the CSFs is carried out by a systematic and general scheme called the fourfold way.<sup>34-36</sup> The first step of the fourfold way is the maximization of threefold density criterion defined as

$$D_3(\alpha_N, \alpha_R, \alpha_T) = 2D^{\text{NO}} + D^{\text{ON}} + 0.5D^{\text{TD}}. \quad (3)$$

The functionals  $D^{\text{NO}}$ ,  $D^{\text{ON}}$ , and  $D^{\text{TD}}$  in Eq. (3) are the natural orbital, occupation number, and the transition density terms, respectively. More specifically, the natural orbital functional is defined as

$$D^{\text{NO}} = N \sum_{\mu=1}^{\eta} (\bar{p}_{\mu\mu})^2, \quad (4)$$

where  $\eta$  is the number of MOs that are used to construct CSFs,  $N$  is the number of diabatic states, and  $\bar{\mathbf{p}}$  is a state-averaged density matrix given as

$$\bar{\mathbf{p}} = \frac{1}{N} \sum_{n=1}^N \mathbf{p}^n, \quad (5)$$

where  $\mathbf{p}^n$  is a matrix with elements  $p_{\mu\nu}^n$ , which is the one-particle density-matrix element of the adiabatic wave function  $\psi_n$ , and  $\mu$  and  $\nu$  are the molecular-orbital labels. The occupation number functional is defined as

$$D^{\text{ON}} = \sum_{\mu=1}^{\eta} \sum_{n=1}^N (p_{\mu\mu}^n)^2. \quad (6)$$

Finally, the transition density functional is defined as

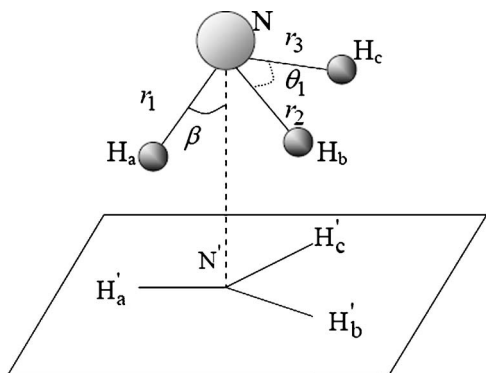


FIG. 1. Internal coordinates used for fitting diabatic potential-energy surfaces and nonadiabatic coupling. The N–H bond distances are shown by  $r_1$ ,  $r_2$ , and  $r_3$ , and the H–N–H bond angles are shown by  $\theta_1$ ,  $\theta_2$ , and  $\theta_3$ .  $NN'$  is the trisector direction, and  $\beta$  is the angle between the any N–H bond and  $NN'$ . The prime denotes the projection of the atoms on a plane perpendicular to the  $NN'$  direction.

$$D^{\text{TD}} = \frac{2}{N-1} \sum_{\mu=1}^{\eta} \sum_{m<n}^N (p_{\mu\mu}^{mm})^2, \quad (7)$$

where  $\mathbf{p}^{mm}$  is the transition density matrix between adiabatic states  $\psi_m$  and  $\psi_n$ . The maximization of the  $D_3$  functional at a reference geometry allows us to find DMOs in which to re-express the CSFs. For the present application to  $\text{NH}_3$ ,  $\eta = 102$  and  $N=2$ .

Since the reference geometries are chosen in weak-interaction regions, the DMOs identified by the threefold criterion need not to remain smooth in the strong-interaction regions. A fourth criterion involving maximum overlap reference MOs (MORMOs) is therefore applied in addition to the threefold density criterion. For this purpose a subset of the DMOs is selected as reference MOs, which is denoted as  $u_{\tau}^{\text{ref}}$ ,  $\tau=1, 2, \dots, \lambda$ . These reference MOs are used to calculate the reference overlap functional  $D^{\text{RO}}$  given by

$$D^{\text{RO}} = \sum_{\tau=1}^{\lambda} \{\sigma_{\tau\tau}(\mathbf{Q}, \mathbf{Q}_{\lambda}^{\text{ref}})\}^2, \quad (8)$$

where  $\sigma_{\tau\tau}(\mathbf{Q}, \mathbf{Q}^{\text{ref}})$  is an overlap quantity<sup>34</sup> involving orbital  $u_{\tau}$  at an arbitrary geometry  $\mathbf{Q}$  and  $u_{\tau}$  at the reference geometry  $\mathbf{Q}^{\text{ref}}$ . For the present application to  $\text{NH}_3$ ,  $\lambda=1$ .

## II.B. Computational procedure

The 6-31++G(3df,3pd) basis set<sup>42,43</sup> is used for the calculations, which are carried out using the HONDOPLUS, v.4.5 (Refs. 44 and 45) electronic structure package. The active space consists of seven orbitals with eight electrons, which corresponds for  $\text{NH}_3$  to a full valence active space. In the MC-QDPT step, one inactive orbital corresponding to the 1s core orbital of N was frozen (that is kept doubly occupied in all CSFs), and the perturbation includes electronic excitation from all of the active orbitals through second order.

Figure 1 shows the internal coordinate system with the three N–H internuclear distances  $r_1$ ,  $r_2$ , and  $r_3$ ; a trisector angle  $\beta$ , defined as an angle that any of the N–H bond axes makes with the  $NN'$  trisector direction;  $\theta_1$ ,  $\theta_2$ , and  $\theta_3$  are the H–N–H bond angles. The trisector angle varies in the range

$0 \leq \beta \leq \pi$ , and  $\beta = \pi/2$  denotes a planar ammonia geometry. (The use of the trisector angle as an internal coordinate was suggested in earlier studies<sup>46–49</sup> in which the ground-state potential-energy surface was fit.) The internal coordinates are represented as  $\mathbf{Q} = r_1, r_2, r_3, \theta_1, \theta_2, \theta_3$ , and  $\beta$ . Note that we use seven internal coordinates, so one of them is redundant; this does not cause any problem and in fact the use of all seven of the coordinates makes it easier to impose permutational symmetry than it would be if one of the  $\theta_i$  was omitted.

We are interested in photodissociation of ammonia to form  $\text{NH}_2 + \text{H}$  products, and we have not considered the fragmentation of  $\text{NH}_3$  to  $\text{NH} + \text{H}_2$  or  $\text{NH} + 2\text{H}$  products in this study. As in the case of multiarrangement reactions, more than one reference geometry are required, and we used six reference geometries. Reference geometries  $\mathbf{Q}_i^{\text{ref}}$  ( $r_1, r_2, r_3, \theta_1, \theta_2, \theta_3, \beta$ ) were chosen with at least one in each of the asymptotic regions for dissociation of each of the three H atoms, and they include both planar and nonplanar geometries, in particular,

$$\begin{aligned} \mathbf{Q}_1^{\text{ref}} &= (5.0, 1.1, 1.1, 2\pi/3, 2\pi/3, 2\pi/3, \pi/2), \\ \mathbf{Q}_2^{\text{ref}} &= (1.1, 5.0, 1.1, 2\pi/3, 2\pi/3, 2\pi/3, \pi/2), \\ \mathbf{Q}_3^{\text{ref}} &= (1.1, 1.1, 5.0, 2\pi/3, 2\pi/3, 2\pi/3, \pi/2), \\ \mathbf{Q}_4^{\text{ref}} &= (5.0, 1.1, 1.1, 2\pi/3, 2\pi/3, 2\pi/3, \pi/6), \\ \mathbf{Q}_5^{\text{ref}} &= (5.0, 1.1, 1.1, 2\pi/3, 5\pi/6, \pi/2, \pi/3), \\ \mathbf{Q}_6^{\text{ref}} &= (5.0, 1.1, 1.1, \pi, \pi/2, \pi/2, \pi/3), \end{aligned} \quad (9)$$

where distances are in angstroms. The reference geometries in different asymptotic regions have different diabatic prototypes. For example,  $\mathbf{Q}_1^{\text{ref}}$  has DMOs localized on  $\text{H}_a + \text{H}_b\text{NH}_c$ , whereas  $\mathbf{Q}_2^{\text{ref}}$  has DMOs on  $\text{H}_b + \text{H}_a\text{NH}_c$ . Similar relationships exist between other reference geometries; therefore it is important to establish a one-to-one correspondence between them in order to make a consistent dominant CSF list. The procedure used is summarized here: (1) With initial geometry  $\mathbf{Q}$  equal to  $\mathbf{Q}_1^{\text{ref}}$ , we carry out a threefold density calculation and find the DMOs  $u_{\tau}(\mathbf{Q})$ . (2) Then we select another geometry  $\mathbf{Q}'$  close to  $\mathbf{Q}$  and find the DMOs  $u_{\tau}(\mathbf{Q}')$  and identify a one-to-one correspondence between the DMOs at the two geometries. (3) With the  $\mathbf{Q}'$  now labeled consistently with  $\mathbf{Q}$  we repeat step (2) with geometries  $\mathbf{Q}'$  successively translated in small steps until  $\mathbf{Q}_2^{\text{ref}}$  is reached.

This establishes one-to-one correspondence between the set of DMOs  $u_{\tau}(\mathbf{Q}_1^{\text{ref}})$  and  $u_{\tau}(\mathbf{Q}_2^{\text{ref}})$  for these two geometries. We follow steps (1)–(3) starting at  $\mathbf{Q}_1^{\text{ref}}$  and connect it to  $\mathbf{Q}_{3, \dots, 6}^{\text{ref}}$  in order to establish correspondence between  $u_{\tau}(\mathbf{Q}_{1, \dots, 6}^{\text{ref}})$  DMOs at all six reference geometries. Since the DMOs obtained should be independent of the path followed in steps (1)–(3) we test the correspondence by following different intermediate paths to connect the reference geometries. As mentioned above, different reference geometries will have different characters and different sets of DMOs, and this can lead to a CSF grouping problem. It was found

that some of the DMOs in planar reference geometries (i.e.,  $\mathbf{Q}_1^{\text{ref}}$ ,  $\mathbf{Q}_2^{\text{ref}}$ , and  $\mathbf{Q}_3^{\text{ref}}$ ) were missing from the nonplanar reference geometries ( $\mathbf{Q}_4^{\text{ref}}$ ,  $\mathbf{Q}_5^{\text{ref}}$ , and  $\mathbf{Q}_6^{\text{ref}}$ ). To obtain a consistent CSF list for all six reference geometries we took a union of all CSFs obtained at each reference geometry. The final dominant CSF lists for the diabatic states  $\phi_1$  and  $\phi_2$  are  $G_1 = \{\chi_1, \chi_2, \chi_3\}$  and  $G_2 = \{\chi_4, \chi_5, \chi_6\}$ , respectively. Each  $\chi_\alpha$  is defined by seven valence molecular orbitals  $u_\tau$ , where the electronic configuration of each  $\chi_\alpha$  is as follows:

$$\begin{aligned} \chi_1: & (u_1)^2(u_2)^2(u_3)^2(u_4)^2(u_5)^0(u_6)^0(u_7)^0, \\ \chi_2: & (u_1)^2(u_2)^2(u_3)^1(u_4)^2(u_5)^1(u_6)^0(u_7)^0, \\ \chi_3: & (u_1)^2(u_2)^2(u_3)^2(u_4)^0(u_5)^2(u_6)^0(u_7)^0, \\ \chi_4: & (u_1)^2(u_2)^2(u_3)^2(u_4)^1(u_5)^1(u_6)^0(u_7)^0, \\ \chi_5: & (u_1)^2(u_2)^2(u_3)^1(u_4)^1(u_5)^2(u_6)^0(u_7)^0, \\ \chi_6: & (u_1)^2(u_2)^1(u_3)^2(u_4)^2(u_5)^1(u_6)^0(u_7)^0. \end{aligned} \quad (10)$$

These lists do not include the doubly occupied core orbital on N, and the valence  $u_\tau$  are numbered in order of increasing orbital energy.

### III. FIT TO THE DIABATIC POTENTIAL-ENERGY SURFACES

The diabatic electronic states  $\phi_1$  and  $\phi_2$  and the matrix elements of the electronic Hamiltonian in the diabatic representation are

$$U_{11} = \langle \phi_1 | H_{\text{el}} | \phi_1 \rangle, \quad (11)$$

$$U_{22} = \langle \phi_2 | H_{\text{el}} | \phi_2 \rangle, \quad (12)$$

$$U_{12} = \langle \phi_1 | H_{\text{el}} | \phi_2 \rangle, \quad (13)$$

where the off-diagonal term is the diabatic coupling term. Note that, following the standard convention,  $H_{\text{el}}$  includes the nuclear repulsion. The electronic Hamiltonian expressed in the diabatic basis is a  $2 \times 2$  matrix of

$$\mathbf{U} = \begin{bmatrix} U_{11}(\mathbf{Q}) & U_{12}(\mathbf{Q}) \\ U_{12}(\mathbf{Q}) & U_{22}(\mathbf{Q}) \end{bmatrix}, \quad (14)$$

where  $\mathbf{Q}$  is the set of nuclear coordinates as defined in Sec. II.B. Since the electronic Hamiltonian provides the potential-energy surfaces on which the nuclei move, the  $\mathbf{U}$  matrix is also known as the diabatic potential-energy matrix. The MC-QDPT method was used to generate  $U_{11}$ ,  $U_{22}$ , and  $U_{12}$  at 3600 geometries. The nuclear geometries were chosen to form a grid in six dimensions such that  $r_1 = 0.8, 1.02, 1.5, 2.0$ , and  $5.0$  Å;  $r_2$  and  $r_3 = 0.8, 1.02$ , and  $1.5$  Å;  $\theta_1$ ,  $\theta_2$ , and  $\theta_3 = \pi/3, \pi/2, 2\pi/3$ , and  $5\pi/6$ ;  $\beta = \pi/12, \pi/6, \pi/4, \pi/3$ , and  $\pi/2$ . This includes 720 geometries with one H atom 5 Å from  $\text{NH}_2$ , plus 720 geometries corresponding to planar  $\text{NH}_3$  and 2160 points corresponding to nonplanar  $\text{NH}_3$ .

There are three identical hydrogen atoms in ammonia, and the fitted diabatic potential-energy matrix should be in-

variant to the labeling of the three hydrogen atoms. In the present study, we incorporated permutation symmetry between the three hydrogen atoms by introducing a symmetrizing operator, which is described in Sec. III.A. During the fitting procedure, the symmetrizer operates on all the matrix elements of  $\mathbf{U}$ .

#### III.A. Symmetrizer

The group of all possible permutations involving the hydrogen atoms in ammonia is the symmetric group  $S_3$ . There are six permutation operations in  $S_3$ , the identity operator  $\mathbf{e}$ , three transposition operators, and two cyclic operators.<sup>50</sup> The transposition operators are of the form  $\mathbf{p}_{ij}$  with  $i, j = 1, \dots, 3$ ;  $i < j$ , and they involve switching any two hydrogen atoms while keeping the third one fixed. The final two permutation operators are cyclic permutations of the form  $\mathbf{p}_{ijk}$  with  $i \neq j \neq k$ . To represent the six permutations in a compact notation, we will introduce a generic  $\mathbf{p}_\alpha$  such that

$$\mathbf{p}_\alpha = \{1, 12, 13, 23, 123, 321\}; \quad (15)$$

thus  $\alpha = 1, 2, \dots, 6$  denotes the six permutations. Each of the six permutation operators can be represented as a  $3 \times 3$  matrix, and the matrix representations of the operators are given in supporting information.<sup>51</sup> Note that  $\mathbf{p}_\alpha$  is a number operator and operates on the indices of the three hydrogen atoms. The representation of the permutation operator in terms of the internal coordinate  $\mathbf{Q}$  is denoted as  $\mathbf{P}_\alpha$ . The operator  $\mathbf{P}_\alpha$  operates on the seven-dimensional vector  $\mathbf{Q}$  and is represented using a  $7 \times 7$  matrix. The matrix representation of  $\mathbf{P}_\alpha$  is expressed by the following direct sum:

$$\mathbf{P}_\alpha = \mathbf{p}_\alpha \otimes \mathbf{p}_\alpha \oplus \mathbf{I}_1, \quad (16)$$

and has the form

$$\mathbf{P}_\alpha = \begin{bmatrix} \begin{array}{c|c} r \text{ block} & \\ \hline \mathbf{p}_\alpha & \mathbf{0} \\ 3 \times 3 & \end{array} & \\ \hline \mathbf{0} & \begin{array}{c|c} \theta \text{ block} & \\ \hline \mathbf{p}_\alpha & \\ 3 \times 3 & \end{array} \\ & \mathbf{I}_1 \end{bmatrix}, \quad (17)$$

where  $\mathbf{I}_1$  is the identity matrix in one dimension. The symmetrizer  $\mathbf{S}$  is defined as a  $(3!)^{-1}$  times a sum over all  $\mathbf{P}_\alpha$ :

$$\mathbf{S} = \frac{1}{6} \sum_{\alpha} \mathbf{P}_\alpha = \frac{1}{6} [\mathbf{e} + \mathbf{P}_{12} + \mathbf{P}_{13} + \mathbf{P}_{23} + \mathbf{P}_{123} + \mathbf{P}_{321}]. \quad (18)$$

#### III.B. $U_{11}$ surface

The lower-energy diabatic surface was fitted with the symmetrized functional form

$$U_{11}(\mathbf{Q}) = \sum_{\substack{i \leq j \leq k \\ l \leq m \leq n \\ p}} C_{ijklmnp}^{(1)} \sum_{\alpha} A_{ijklmnp}^{(1)}(\mathbf{P}_\alpha \mathbf{Q}), \quad (19)$$

where



$$A_{ijklmnp}^{(\omega)}(\mathbf{Q}) = f_{\omega}(r_1)^i f_{\omega}(r_2)^j f_{\omega}(r_3)^k g_{\omega}(\theta_1, r_2, r_3)^l \\ \times g_{\omega}(\theta_2, r_1, r_3)^m g_{\omega}(\theta_3, r_1, r_2)^n h(p, \beta), \quad (20)$$

where

$$f_{\omega}(r) = 1 - [\kappa_{\omega} e^{-\gamma_{\omega}(r-r_{\omega})}], \quad (21)$$

$$g_{\omega}(\theta_a, r_b, r_c)^l = (\theta - \theta_{\omega})^l e^{-\delta_{\omega}(r_b^2+r_c^2)} + \eta_{\omega}^l (1 - e^{-\delta_{\omega}(r_b^2+r_c^2)}) \\ [a, b, c = 1, 2, 3; a \neq b \neq c], \quad (22)$$

$$h(p, \beta) = \cos \left[ p \left( \beta - \frac{\pi}{2} \right) \right], \quad (23)$$

with  $0 \leq i, j, k \leq 2$ ,  $0 \leq l, m, n \leq 2$ , and  $0 \leq p \leq 4$ . Note that if  $p$  were restricted to  $p=0$ , then Eq. (19) would be a six-dimensional multinomial, but  $p$  is a Fourier cosine series coefficient, not a power. For cases with  $p < 4$ , the constraints in the indices are  $i+j+k \leq 4$  and  $l+m+n \leq 2$ . For  $p=4$ ,  $i+j+k \leq 4$ ,  $l+m+n \leq 2$ , and  $i+j+k+l+m+n \leq 0$ . There are total of 127 coefficients for  $\omega=1$ . The values of the coefficients were obtained by linear squares fitting routine for given values of nonlinear parameters (discussed in the next paragraph) and are provided in the supporting information.<sup>51</sup>

The function  $f_{\omega}$  in Eqs. (20) and (21) is a Morse function, and powers of this function are used to describe each of the three N–H stretches. The  $r_{\omega 1}$  parameter in Eq. (21) corresponds to the ground-state equilibrium N–H distance, and the  $\gamma_1$  parameter was optimized manually to obtain a minimum root-mean-square (rms) deviation of the fit from the data. The parameters are given in the supporting information.<sup>51</sup> The bend displacement about each angular coordinate (in radians) is described by the  $g_{\omega}$  function. The presence of Gaussian functions in  $g_{\omega}$  ensures that in the dissociation limit the bending terms associated with the breaking bond vanish for the product geometry. The inversion coordinate is symmetric about the  $D_{3h}$  geometry and is therefore described by a cosine function with a  $\pi/2$  reference angle.

The shape of the  $U_{11}$  surface was found to be very important for describing key features of the ground adiabatic surface such as the equilibrium geometry, the saddle-point geometry for inversion, and the inversion barrier height. For fitting  $U_{11}$ , the 47 geometries with energies less than or equal to 0.5 eV were weighted higher than the other 3553 geometries by a factor of 5. This allowed us to obtain a better fit in the regions that are most important for the experiments of Refs. 10–30.

### III.C. $U_{22}$ surface

The higher-energy diabatic surface  $U_{22}(\mathbf{Q})$  was represented as the eigenvalue of a  $2 \times 2$  matrix of the form

$$W = \begin{bmatrix} W_{11}(\mathbf{Q}) & W_{12}(\mathbf{Q}) \\ W_{12}(\mathbf{Q}) & W_{22}(\mathbf{Q}) \end{bmatrix}, \quad (24)$$

where the matrix elements are all fitting functions. The lowest root is

$$U_{22}(\mathbf{Q}) = \frac{1}{2} [(W_{22} + W_{11}) - \sqrt{(W_{22} - W_{11})^2 + 4W_{12}^2}]. \quad (25)$$

The diagonal matrix elements in Eq. (24) were determined by simultaneous least-squares fits. The functional form of the symmetrized  $W_{11}(\mathbf{Q})$  surface is given as

$$W_{11}(\mathbf{Q}) = \sum_{\substack{i \leq j \leq k \\ l \leq m \leq n \\ p}} C_{ijklmnp}^{(2)} \sum_{\alpha} A_{ijklmnp}^{(2)}(\mathbf{P}_{\alpha} \mathbf{Q}), \quad (26)$$

with  $0 \leq i, j, k \leq 2$ ,  $0 \leq l, m, n \leq 2$ , and  $0 \leq p \leq 4$  with additional constraints  $i+j+k \leq 4$ ,  $l+m+n \leq 2$ , and  $i+j+k+l+m+n > 0$ . We set  $\delta_2$  and  $\eta_2$  equal to  $\delta_1$  and  $\eta_1$ , respectively. The functional form of the symmetrized  $W_{22}(\mathbf{Q})$  surface is given as

$$W_{22}(\mathbf{Q}) = \sum_{\substack{i \leq j \leq k \\ l \leq m \leq n \\ p}} C_{ijklmnp}^{(3)} \sum_{\alpha} A_{ijklmnp}^{(3)}(\mathbf{P}_{\alpha} \mathbf{Q}), \quad (27)$$

with the same upper limits and as for  $W_{11}(\mathbf{Q})$ . We set  $\delta_3$  and  $\eta_3$  equal to  $\delta_1$  and  $\eta_1$ , respectively. The off-diagonal coupling  $W_{12}(\mathbf{Q})$  is fixed at 0.8 eV for all geometries. The  $U_{22}$  surface was constructed by first fitting the two  $W_{11}$  and  $W_{22}$  surfaces and then calculating  $U_{22}$  using Eq. (25).

The use of a multiconfigurational representation involving the  $W_{11}$  and  $W_{22}$  surfaces was motivated by the need to fit features such as the excited-state minimum and the saddle point for dissociation on the  $U_{22}$  surface. To better describe the region of conical intersection and the saddle point for the dissociation 772 geometries with  $U_{22}$  in the range of 4–6 eV were given a weight of 3 for fitting both  $W_{11}$  and  $W_{22}$ , and the remaining 2828 geometries were given a weight of unity. The lists of 124 coefficients and the new nonlinear parameters for  $\omega=2$  and  $\omega=3$  are provided in the supporting information.<sup>51</sup>

### III.D. $U_{12}$ surface

The nonadiabatic coupling obtained by the diabaticization procedure was fitted using the following symmetrized functional form:

$$U_{12}(\mathbf{Q}) = \sum_{\substack{i \leq j \leq k \\ l \leq m \leq n \\ p}} C_{ijklmnp}^{(4)} \sum_{\alpha} B_{ijklmnp}^{(4)}(\mathbf{P}_{\alpha} \mathbf{Q}), \quad (28)$$

where  $B_{ijklmnp}(\mathbf{Q})$  has the form

$$B_{ijklmnp}(\mathbf{Q}) = r_1^i r_2^j r_3^k F(r_1) F(r_2) F(r_3) \theta_1^l \theta_2^m \theta_3^n G(p, \beta), \quad (29)$$

where

$$F(r) = 1 - 0.5 \tanh[(r - r_{04})], \quad (30)$$

$$G(p, \beta) = \sin \left[ p \left( \beta - \frac{\pi}{2} \right) \right], \quad (31)$$

where  $0 \leq i, j, k \leq 2$  and  $0 \leq l, m, n \leq 2$  with additional constraints  $i+j+k \leq 2$ ,  $l+m+n \leq 2$ , and  $i+j+k+l+m+n > 0$ ; and where  $r_{04}$  is listed in the supporting information.<sup>51</sup> In the present fit we used  $3 \leq p \leq 5$  because this choice yields a better fit and lower rms deviation of errors than  $1 \leq p \leq 3$ .

TABLE I. Mean unsigned deviation of the fitted  $U_{11}$  energies from their mean value for several energy ranges.

$U_{11}$ (eV)	$N^a$	Mean unsigned deviation (eV)
0-1	75	0.02
0-2	84	0.05
0-3	132	0.07
0-4	208	0.09
0-5	354	0.09
0-6	534	0.11
0-7	922	0.12
0-8	1325	0.15
0-9	1785	0.17
0-10	2223	0.20

<sup>a</sup> $N$  is the number of points in the indicated energy range.

With either choice,  $U_{12}$  is linear in  $(\beta - \pi/2)$  for values close to  $\pi/2$ .

A key element of the present direct procedure is that  $U_{12}$  is obtained directly from the diabaticization then fit independently of  $U_{11}$  and  $U_{22}$ , whereas in indirect procedures it is sometimes fit to minimize the errors in the adiabatic sur-

TABLE II. Mean unsigned deviation of the fitted  $U_{22}$  energies from their mean value for several energy ranges.

$U_{22}$ (eV)	$N^a$	Mean unsigned deviation (eV)
0-5	265	0.09
0-6	507	0.09
0-7	1134	0.10
0-8	1554	0.12
0-9	2166	0.15
0-10	2671	0.19
0-11	2995	0.21
0-12	3175	0.21
0-13	3329	0.23
0-14	3411	0.25

<sup>a</sup> $N$  is the number of points in the indicated energy range.

faces. (Our fitting procedure does not use the adiabatic surface at all.) The least-squares fit for  $U_{12}$  was carried out with a uniform unit weight for all geometries. A list of 45 nonzero unique coefficients that were optimized by minimizing the rms deviation from the MC-QDPT values is provided in the supporting information.<sup>51</sup>

### III.E. Availability

The fitted surfaces, including analytic gradients in Cartesian coordinates, have been deposited in the POTLIB<sup>52,53</sup> potential-energy surface library, where they are freely available to all interested parties.

### IV. DISCUSSION

The mean unsigned error (MUE) of the fit to  $U_{11}$  is tabulated as a function of energy in Table I, where the zero of energy throughout this article is the equilibrium geometry of ground-state  $\text{NH}_3$ . For energies below 1 eV the fit agrees

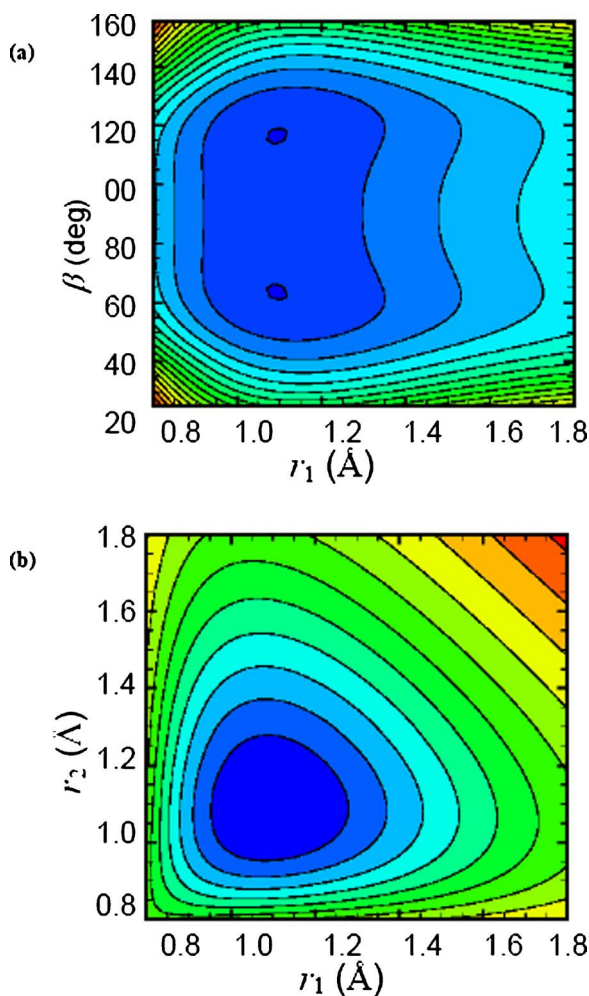


FIG. 2. (Color online) Contour plots of the  $U_{11}$  potential-energy surface of ammonia along (a) N-H stretch  $r_1$  and the inversion angle  $\beta$  and (b) two N-H stretches  $r_1$  and  $r_2$ . For each plot all other degrees of freedom are fixed at the equilibrium ground-state geometry of ammonia. The contour spacing is 0.5 eV.

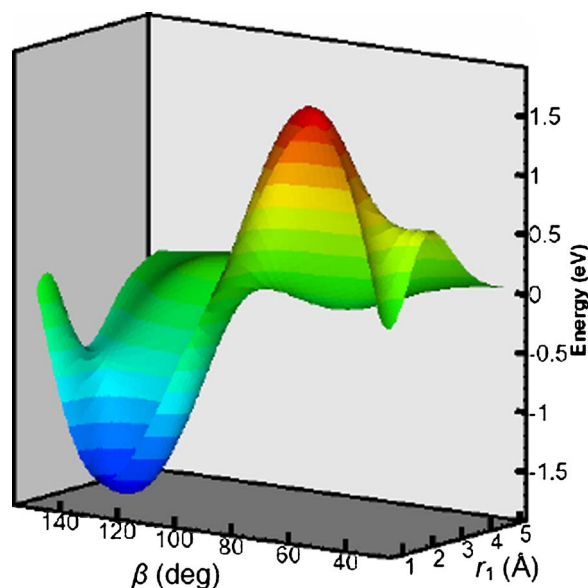


FIG. 3. (Color online) Three-dimensional plot of the  $U_{12}$  potential-energy surfaces for ammonia along the N-H stretch  $r_1$  and the inversion angle  $\beta$ . All other coordinates were fixed at the equilibrium geometry. The coupling term goes to zero at  $\beta = \pi/2$  and is positive for  $\beta < \pi/2$  and negative for  $\beta > \pi/2$ .

TABLE III. Mean unsigned deviation of the fitted  $U_{12}$  energies from their mean value for several energy ranges.

$ U_{12} $ (eV)	$N^a$	Mean unsigned deviation (eV)
0–0.25	2247	0.01
0–0.50	3077	0.01
0–0.75	3219	0.01
0–1.00	3337	0.01
0–1.25	3416	0.01
0–1.50	3485	0.02
0–1.75	3528	0.02
0–2.00	3600	0.02

<sup>a</sup> $N$  is the number of points in the indicated energy range.

with the *ab initio* data within 0.02 eV, on average. For higher-energy geometries, but still below the dissociation limit ( $\sim 5$  eV), the MUE of the fit is 0.1 eV. We consider this to be good agreement between the data and the fit, since the fit is a global one spanning energies from the minimum to far above the dissociation limit of ammonia. Figure 2(a) shows

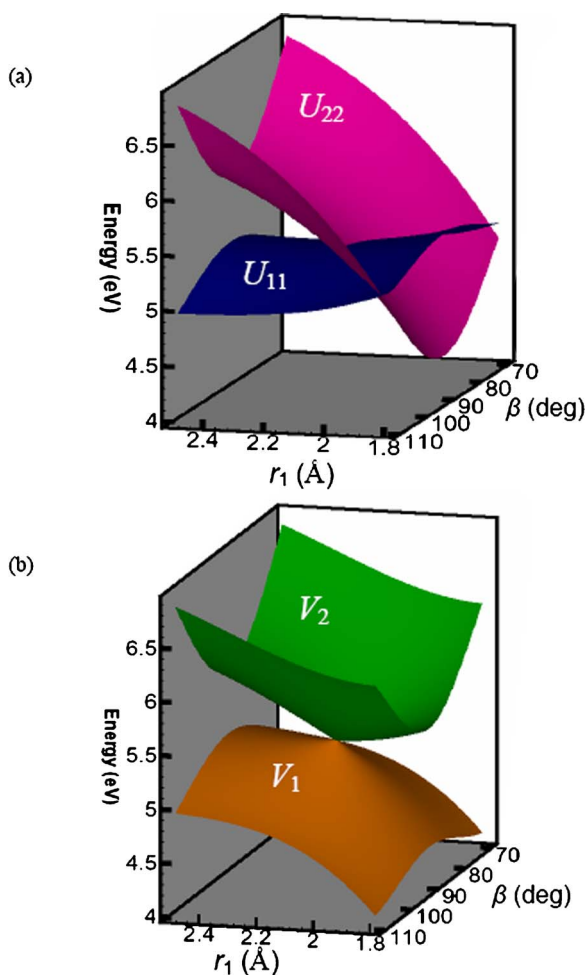


FIG. 4. (Color online) Three-dimensional plots of (a) the  $U_{11}$  and  $U_{22}$  diabatic potential-energy surfaces showing the diabatic crossing of the two surfaces and (b) the  $V_1$  and  $V_2$  diabatic potential-energy surfaces of ammonia showing the conical intersection along the N–H stretch  $r_1$  and the inversion angle  $\beta$ . The conical intersection forms at  $r_1=2.13$  Å and  $\beta=\pi/2$  with all other coordinates fixed at the equilibrium geometry.

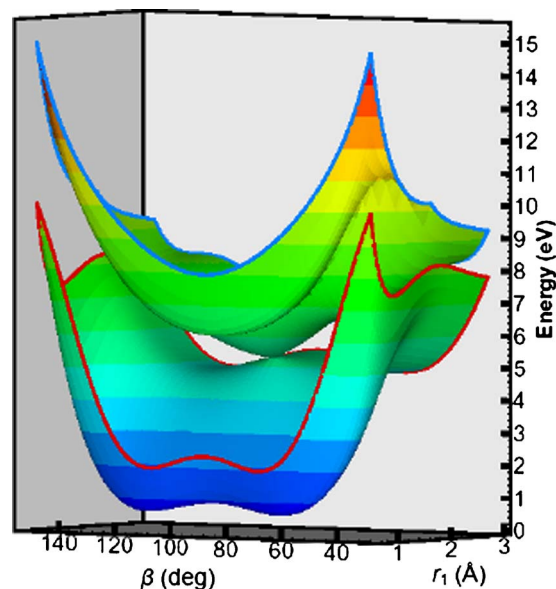


FIG. 5. (Color online) Three-dimensional plot of adiabatic potential-energy surfaces for ammonia up to the dissociation limit of  $r_1$  and over a wide range of the inversion angle  $\beta$ . The conical intersection appears at  $\beta=\pi/2$ , and all other coordinates were fixed at the equilibrium geometry. The contour spacing is 1 eV.

the contours of the  $U_{11}$  surface near the minimum as a function of the N–H stretch  $r_1$  and inversion angle  $\beta$  with other coordinates fixed at  $r_2=r_3=1.020$  Å and  $\theta_1=\theta_2=\theta_3=107.5^\circ$ . The plot shows the location of two  $C_{3v}$  minima at  $r_1=1.02$  Å and  $\beta=67.5^\circ$  and  $112.5^\circ$ . The two minima are separated by an inversion barrier of 0.25 eV at the planar  $D_{3h}$  geometry with  $r_1=1.02$  Å and  $\beta=\pi/2$ . Figure 2(b) shows the contours of the  $U_{11}$  surface along  $r_1$  and  $r_2$  with other coordinates fixed at  $r_3=1.02$  Å,  $\theta_1=\theta_2=\theta_3=107.5^\circ$ , and  $\beta=67.5^\circ$ . The lowest-energy contour shows the minimum in  $U_{11}$  at the  $C_{3v}$  geometry with  $r_2=r_3=1.02$  Å.

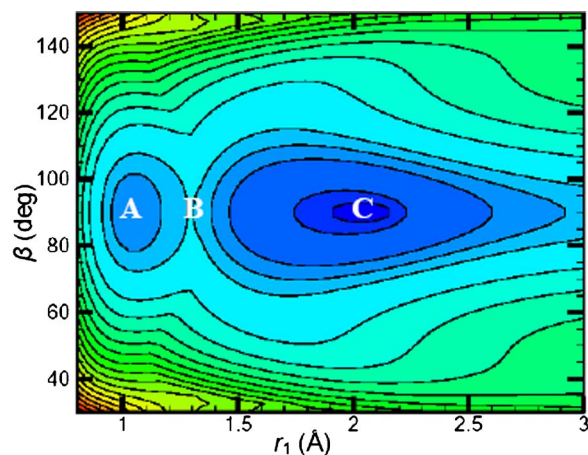


FIG. 6. (Color online) Contour plot of  $V_2$  adiabatic potential-energy surface of ammonia. On the plot the excited-state minimum-energy point is labeled as A, and the geometry of the conical intersection is labeled as C. The saddle point of the dissociation channel is labeled as B. The contour spacing is 0.5 eV.

TABLE IV. Key features of the fitted adiabatic surfaces.

Quantity	Present fit	Best previous estimate(s)			Experiment
$V_1$					
Equilibrium geometry (Å and deg)					
$r_1$	1.010	1.011 <sup>a</sup>	1.011 <sup>b</sup>	1.013 <sup>c</sup>	
$\theta_1$	108.4	106.8 <sup>a</sup>	106.7 <sup>b</sup>	106.5 <sup>c</sup>	
Vibrational frequencies (cm <sup>-1</sup> )					
$\omega_1$	3898	3480 <sup>e</sup>			3485 <sup>e</sup>
$\omega_2$	1005	1084 <sup>d</sup>			
$\omega_3$	3655	3609 <sup>d</sup>			3624 <sup>c</sup>
$\omega_4$	1703	1680 <sup>d</sup>			1678 <sup>c</sup>
Inversion barrier (cm <sup>-1</sup> )	1959	1783 <sup>a</sup>	1792 <sup>b</sup>	1867 <sup>c</sup>	1885 <sup>f</sup>
N–H distance at inversion saddle point (Å)	0.998	0.995 <sup>a</sup>	0.994 <sup>b</sup>	0.996 <sup>c</sup>	
Vibrational frequencies at the saddle point (cm <sup>-1</sup> )					
$\omega_1$	3252	3637 <sup>g</sup>			
$\omega_2$	903i	822i <sup>g</sup>			
$\omega_3$	3523	3835 <sup>g</sup>			
$\omega_4$	1596	1577 <sup>g</sup>			
N–H bond dissociation energy $D_e$ (eV)	4.40	4.70 <sup>h</sup>			
$V_2$					
Energy at minimum-energy geometry (eV)					
	5.75	5.76 <sup>h</sup>			5.72 <sup>i</sup>
Equilibrium geometry (Å and deg)					
$r_1$	1.043	1.048 <sup>h</sup>			1.08 <sup>i</sup>
$\theta_1$	120	120 <sup>h</sup>			120 <sup>i</sup>
Saddle point of the N–H dissociation					
Barrier height for N–H dissociation (cm <sup>-1</sup> )					
	2931	2639 <sup>h</sup>			2348 <sup>j</sup>
Saddle-point energy (eV)					
	6.11	6.09 <sup>h</sup>			
Saddle-point geometry (Å and deg)					
$r_1$	1.270	1.302 <sup>h</sup>			1.323
$r_2$	1.070	1.041 <sup>h</sup>			1.042
$r_3$	1.070	1.041 <sup>h</sup>			1.042
$\theta_1$	120	114 <sup>h</sup>			
$\theta_2$	120	123 <sup>h</sup>			
$\theta_3$	120	123 <sup>h</sup>			
$\beta$	90	90			
Intersection of $V_1$ and $V_2$					
Lowest-energy intersection (eV)					
	4.93				
Geometry of the lowest-energy intersection (Å and deg)					
$r_1$	2.37				
$r_2$	1.020				
$r_3$	1.020				
$\theta_1$	120				
$\theta_2$	120				
$\theta_3$	90				
$\beta$	90				

<sup>a</sup>Reference 54.<sup>b</sup>Reference 55.<sup>c</sup>Reference 56.<sup>d</sup>Reference 57.<sup>e</sup>Reference 58.<sup>f</sup>Reference 59.<sup>g</sup>Reference 47.<sup>h</sup>Reference 9.<sup>i</sup>Reference 4.<sup>j</sup>Reference 25.

The key features in the excited adiabatic states that depend strongly on the shape of the  $U_{22}$  surface are the excited-state minimum-energy geometry, the conical intersection, and the barrier for photodissociation. Table II shows the

MUE in  $U_{22}$  as a function of energy. In the low-energy regions ( $E < 5$  eV) the MUE is 0.09 eV, whereas for geometries with energies below  $E < 10$  eV the mean unsigned error is 0.19 eV.



TABLE V. Comparison of the adiabatic energies for conical intersection geometries of Ref. 9 with the MC-QDPT results. Distances are in Å, angles are in degrees, and energies are in eV.

Symmetry	Internal coordinates <sup>a</sup>						Ref. 9		MC-QDPT	
	$r_1$	$r_2$	$r_3$	$\theta_1$	$\theta_2$	$\theta_3$	$V_1$	$V_2$	$V_1$	$V_2$
$C_{2v}$	1.955	1.021	1.021	110	125	125	5.11	5.11	5.02	5.05
	1.8	1.066	1.066	86	137	137	5.50	5.50	4.93	5.47
	1.6	1.175	1.175	62	149	149	6.95	6.95	6.54	6.90
	1.5	1.265	1.265	52	154	154	7.96	7.96	7.66	8.14
$C_s$	1.8	1.033	1.033	103	89	168	5.28	5.24	5.11	5.30
	1.6	1.014	1.164	118	73	169	5.77	5.77	5.01	5.76
	1.6	1.014	1.164	138	63	159	6.03	6.03	5.06	6.47

<sup>a</sup>For all points in this table, the final internal coordinate  $\beta$  is  $\pi/2$ .

The  $U_{12}$  coupling is an odd function of  $(\beta - \pi/2)$  and changes sign when the system passes through planar geometries. The values of  $U_{12}$  range between  $-2$  and  $+2$  eV. Figure 3 shows  $U_{12}$  as a function of bond distance and the inversion angle for geometries where one of N–H bonds is stretched. Table III lists the MUE as a function of energy for the fit of  $U_{12}$ .

The adiabatic potential-energy surfaces  $V_1$  and  $V_2$  are obtained for a given geometry without any further approximation by diagonalizing Eq. (14), i.e.,

$$V_{1(2)}(\mathbf{Q}) = \frac{1}{2}[U_{11}(\mathbf{Q}) + U_{22}(\mathbf{Q}) \mp \frac{1}{2}\sqrt{[U_{22}(\mathbf{Q}) - U_{11}(\mathbf{Q})]^2 + 4U_{12}^2(\mathbf{Q})}]. \quad (32)$$

Figure 4 shows (a) the smooth crossing between the  $U_{11}$  and  $U_{22}$  diabatic surfaces and (b) the conical intersection between the ground state  $V_1$  and the first excited state  $V_2$ . The plots show how the  $U_{12}$  coupling splits the surfaces and gives rise to a conical intersection. A plot of the adiabatic surfaces for a wide range of inversion angle is shown in Fig. 5. Figure 6

is a contour plot of  $V_2$  for a region that includes the excited-state minimum and saddle point for the dissociation.

Having obtained the adiabatic surfaces we can characterize them in terms of their key features. This is done in Table IV, where the results are compared to the best previous<sup>4,9,25,47,54–59</sup> estimates when available. For the ground-state  $V_1$  potential-energy surface the equilibrium N–H distance  $r_{\text{eq}}$  is 1.010 Å, and the HNH equilibrium angle  $\theta_{\text{eq}}$  is 108.4°. Both these values are in good agreement to the best previous estimates.<sup>54–56</sup> The vibrational frequencies at the ground-state equilibrium geometry are 3898, 1005, 3655, and 1703  $\text{cm}^{-1}$  and are in reasonable agreement with the previous theoretical<sup>57</sup> and experimental<sup>58</sup> values. The N–H distance at the inversion saddle point is 0.998 Å, and the inversion barrier height is 1959  $\text{cm}^{-1}$ . The vibrational frequencies at the saddle point are listed in Table IV. The N–H bond dissociation energy  $D_e$  is 4.40 eV.

The excited-state minimum-energy geometry and the energy at that geometry are listed in Table IV and are in good agreement with previous best estimates.<sup>4,9</sup> The excited-state saddle point along the N–H dissociation coordinate is a  $C_{2v}$  geometry with a barrier height of 2931  $\text{cm}^{-1}$ . The values of the internal coordinates at this saddle point are listed in Table IV. Also in Table IV, the geometry and the energy for the lowest-energy conical intersection are listed.

The conical intersections of a molecule occur in at most a  $(3N-8)$ -dimensional manifold, where  $N$  is the number of atoms. For ammonia,  $N=4$  and thus the intersection manifold is at most four dimensional. However,  $U_{12}$  apparently vanishes only for planar geometries, which form a five-dimensional manifold, because  $(2N-3)=5$ . However, although  $U_{12}$  is zero for all planar geometries,  $U_{11}$  does not equal to  $U_{22}$  for all planar geometries. This again shows that the conical intersection manifold is at most four dimensional. In particular, it consists of all planar geometries for which

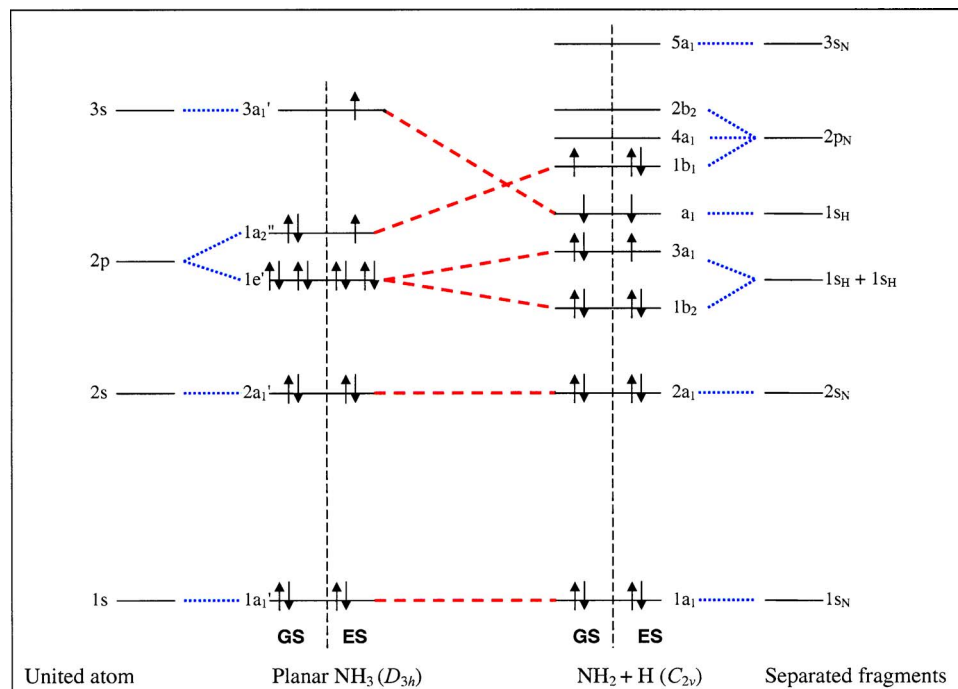


FIG. 7. (Color online) Correlation of orbitals at large and small internuclear distances of ammonia molecule in planar  $D_{3h}$  geometry and dissociated  $\text{NH}_2 + \text{H}$  fragment in  $C_{2v}$  point group for both the ground and the first excited states. At the extreme left are the atomic orbitals of the united atom, and on the extreme right are the orbitals for the separated fragments. The ground-state (GS) and excited-state (ES) electronic configurations are separated by vertical dashed line for both  $\text{NH}_3$  and  $\text{NH}_2$  molecules. The transformation of orbitals from  $D_{3h}$  to  $C_{2v}$  is shown by horizontal dashed lines, whereas for that between united atom to  $\text{NH}_3$  and  $\text{NH}_2$  to separated fragments it is shown by dotted lines. The arrows in the orbitals represent the electrons.

TABLE VI. Dimensionalities.

Space	Dimensions			
	Nonplanar	$C_s$	$C_{2v}$	$D_{3h}$
Full	6	5	3	1
Conical intersection	4	4	2	0 <sup>a</sup>

<sup>a</sup>A zero-dimensional seam is a point.

$U_{11}=U_{22}$ . The  $D_{3h}$  manifold is one dimensional, but  $U_{11}=U_{22}$  at only a subset of this manifold. The  $C_{2v}$  manifold is three dimensional, and the conical intersection need not be restricted to  $C_{2v}$  geometries. In fact, Yarkony<sup>9</sup> showed that the conical intersection manifold is not restricted to the three-dimensional  $C_{2v}$  manifold but also includes  $C_s$  geometries. In particular, Yarkony found four  $C_{2v}$  points and three  $C_s$  points on the conical intersection. Since we have analytic representation of the diabatic potentials, setting  $U_{11}$  equal to  $U_{22}$  for planar geometries gives an analytic representation of the entire conical intersection manifold. We are not restricted to a finite number of points of intersection. Nevertheless the only way to compare to the previous calculations is carry out calculations at Yarkony's seven points of intersection; this is done in Table V. We see that the splitting of our adiabatic potentials at these seven points is 0.03, 0.46, 0.48, 0.54, and 1.41 eV, respectively. Thus we are not in quantitative agreement for the location of the seam. It is not clear which calculation is more reliable. In particular, Yarkony uses a larger active space and more contracted basis functions on nitrogen atom; he also used multireference configuration interaction, which is more complete than multireference perturbation theory. However, and this may be the most important difference, he obtained orbitals by a CASSCF calculation averaged over three states, whereas we averaged over only two, which should be more accurate for the two states under consideration here. Furthermore, we used more contracted functions on each hydrogen atom. Further work will be necessary to understand the quantitative importance of the differences. The question then arises of which additional geometries are contained in the conical intersection manifold.

For ammonia, the presence of a conical intersection and the crossing of a diabatic states can be understood by a group theoretical treatment. In particular, consider a set of geom-

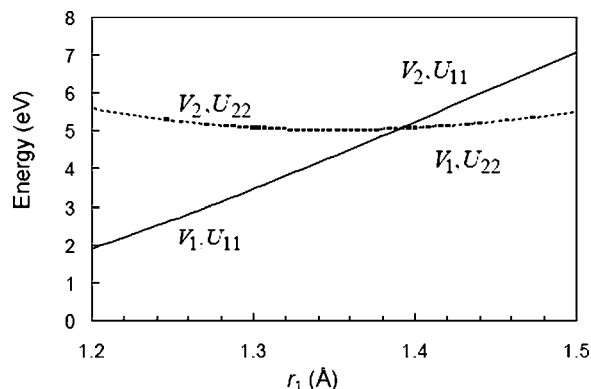


FIG. 8. Cut through the potential-energy surfaces for  $D_{3h}$  geometries. For such geometries  $V_1=\min(U_{11}, U_{22})$  and  $V_2=\max(U_{11}, U_{22})$ . Note that although the results are plotted vs  $r_1$ , for  $D_{3h}$  geometries,  $r_1=r_2=r_3$ .

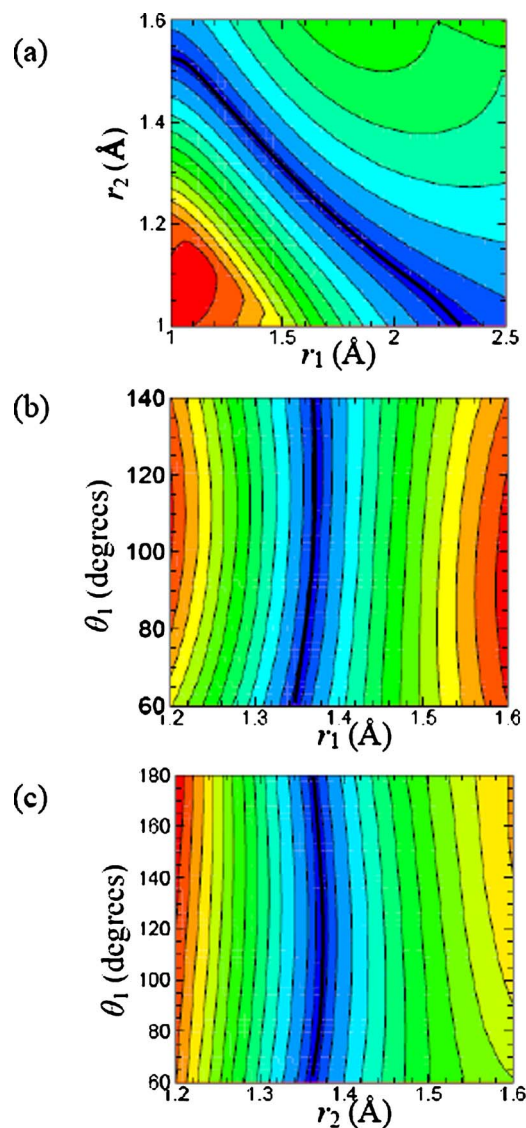


FIG. 9. (Color online) Contour plots of conical intersections of ammonia in  $C_{2v}$  geometries. Plot of  $V_2-V_1$  with respect to varying (a)  $r_1$  and  $r_2$ , with  $r_2=r_3$  and  $\theta_1=\theta_2=\theta_3=120^\circ$ ; (b)  $r_1$  and  $\theta_1$ , with  $r_2=r_3=1.39$  Å and  $\theta_2=\theta_3$ ; (c)  $r_2$  and  $\theta_1$ , with  $r_2=r_3$  for all geometries,  $\theta_2=\theta_3$ , and  $r_1=1.39$  Å. The contour spacing is 0.5 eV for (a), 0.1 eV for (b), and 0.2 eV for (c). In each plot the null contour showing the conical intersection seam is marked by a solid black contour.

etries at which ammonia is planar, and use the irreducible representations of the  $D_{3h}$  point group to label the ground and the first excited electronic states. Figure 7 shows the correlation diagram for the orbitals of  $\text{NH}_3$  (in the  $D_{3h}$  point group) and those of  $\text{NH}_2+\text{H}$  (in the  $C_{2v}$  point group). Along the abscissa is a generalized coordinate representing one (on the right) or three (on the left) N–H internuclear distances, and along ordinate (but not to scale) are the energies of the orbitals. The energies of the united atom with  $1s$ ,  $2s$ ,  $2p$ , and  $3s$  atomic orbitals are shown on the extreme left in Fig. 7, while those for separated  $\text{NH}_2$  and H fragments are shown on the extreme right. Next to the united atom, we show the electronic configuration in planar  $\text{NH}_3$  with spin-up and spin-down electrons represented by arrows. The ground state (GS) of ammonia is  $(1a'_1)^2(2a'_1)^2(1e')^4(1a''_2)^2$ , and the first excited state (ES) is  $(1a'_1)^2(2a'_1)^2(1e')^4(1a''_2)^1(3a'_1)^1$ . Next to the ex-

tre right are the molecular-orbital energies corresponding to the orbitals of  $\text{NH}_2+\text{H}$  ( $C_{2v}$ ). The  $1s$  orbitals of two H atoms in  $\text{NH}_2$  give molecular orbitals  $b_2$  and  $a_1$  (in  $C_{2v}$ ), and the orbital of the separated third H atom has  $a_1$  symmetry. The ground electronic configuration of  $\text{NH}_2$  is  $(1a_1)^2(2a_1)^2(1b_2)^2(3a_1)^2(1b_1)^1$ , and the first excited electronic configuration is  $(1a_1)^2(2a_1)^2(1b_2)^2(3a_1)^1(1b_1)^2$ , as shown in Fig. 7. The transformation of the irreducible representations from  $D_{3h}$  to  $C_{2v}$  (shown by dashed lines in Fig. 7) is carried out using the correlation tables of Herzberg.<sup>60</sup> We find that as we transform from the  $D_{3h}$  to the  $C_{2v}$  point group the highest occupied molecular orbital (HOMO)  $(1a_2'')^2$  of ground-state  $D_{3h}$  ammonia transforms as  $(1b_1)^2$ , and the lowest unoccupied molecular orbital (LUMO)  $(3a_1')$  of ground-state  $D_{3h}$  ammonia transforms as  $(3a_1)$ . As the N–H distance increases, the relative energy difference between the HOMO  $1a_2''$  and the LUMO  $3a_1'$  decreases, and at large N–H distances, the  $3a_1$  orbital has a lower energy than the  $b_1$  orbital. Since the  $1a_2''$  and the  $3a_1'$  orbitals are of different symmetry, they can cross each other. Note that at the crossover point,  $1a_2''$  and  $3a_1'$  are degenerate, and the electronic configuration of the ground  $(1a_1')^2(2a_1')^2(1e')^4(1a_2'')^2(3a_1')^0$  and the excited  $(1a_1')^2(2a_1')^2(1e')^4(1a_2'')^1(3a_1')^1$  electronic states give the same adiabatic energy. Thus, in an uncorrelated treatment, the crossover point is the location of the conical intersection. Adding correlation energy changes the quantitative location of the conical intersection, but the qualitative nature of the states remains as just described.

The overall symmetry of the electronic states is obtained by carrying out direct product of the irreducible representations of the molecular orbitals. The orbital picture just described is consistent with the earlier observation that the ground electronic state of the  $\text{NH}_2$  products ( ${}^2B_1$ ) correlates diabatically with the first excited ( ${}^1A_2''$ ) state of  $\text{NH}_3$ , while the first excited state of  $\text{NH}_2$  products ( ${}^2A_1$ ) correlates diabatically with the ground state ( ${}^1A_1$ ) of ammonia. Note that here we have used the standard convention to use lowercase letters to represent the symmetry of the orbitals and upper case letters to represent the overall symmetry of the electronic states.

Although the considerations involving the orbitals of Fig. 7 provide a qualitative understanding of the intersection, our surface fits allow a more complete discussion of the multidimensional shape of the four-dimensional (4D) conical intersection seam. Table VI summarizes the relevant dimensionalities. Since all planar geometries have  $U_{12}=0$ , the dimensionality of the seam is one lower than the full dimensionality for planar geometries, as illustrated in the last three columns of Table VI. We will start in the last column ( $D_{3h}$ ) and then successively lower the symmetry to  $C_{2v}$  and  $C_s$ . Figure 8 allows us to identify the conical intersection within the one-dimensional  $D_{3h}$  manifold and it shows a conical intersection at the point where the N–H distance is equal to 1.390 Å. Starting from this  $D_{3h}$  conical intersection geometry we then systematically lower the symmetry to  $C_{2v}$  geometries so as to explore the three-dimensional  $C_{2v}$  manifold. We keep three internal coordinates fixed at the  $D_{3h}$  conical intersection geometry and vary two coordinates si-

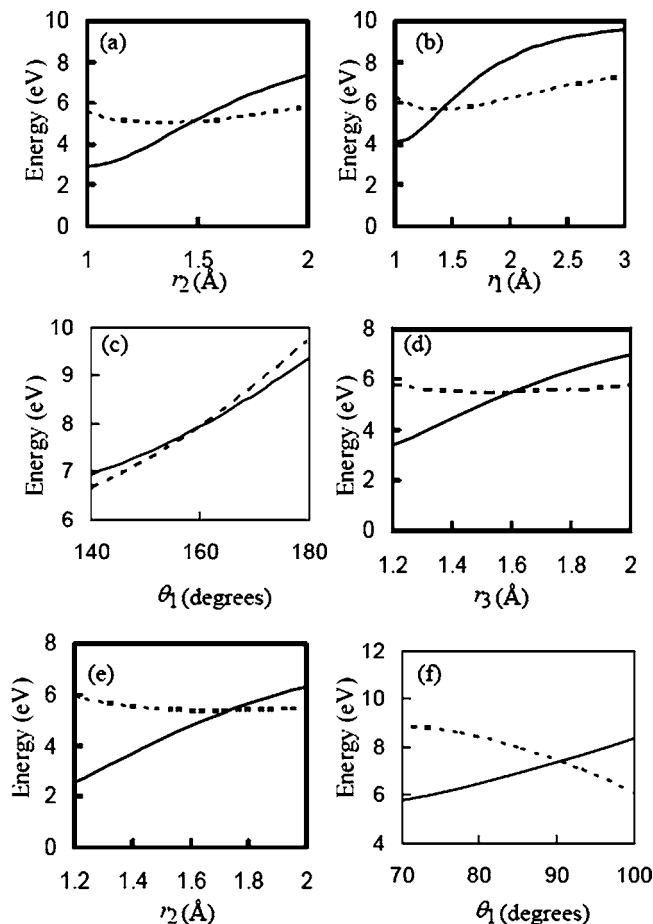


FIG. 10. Cuts through the diabatic potential-energy surfaces of ammonia,  $U_{11}$  (solid) and  $U_{22}$  (dashed), for  $C_s$  geometries. The plots attempt to map out the conical intersections in  $C_s$  geometries by moving along one internal coordinate starting from a  $C_{2v}$  geometry. The fixed internal coordinates are (a)  $r_1=1.2$  Å,  $r_3=1.5$  Å, and  $\theta_1=\theta_2=\theta_3=120^\circ$ ; (b)  $r_2=r_3=1.39$  Å,  $\theta_1=120^\circ$ ,  $\theta_2=150^\circ$ , and  $\theta_3=90^\circ$ ; (c)  $r_1=1.5$  Å,  $r_2=r_3=1.39$  Å, and  $\theta_2=155^\circ$ ; (d)  $r_1=1.2$  Å,  $r_2=1.39$  Å,  $\theta_1=90^\circ$ , and  $\theta_2=\theta_3=135^\circ$ ; (e)  $r_1=1.39$  Å,  $r_3=1.05$  Å,  $\theta_1=140^\circ$ , and  $\theta_2=110^\circ$ ; (f)  $r_1=1.36$  Å,  $r_2=r_3=1.0$  Å, and  $\theta_2=120^\circ$ . For planar geometries, the adiabats are  $V_1=\min(U_{11}, U_{22})$  and  $V_2=\max(U_{11}, U_{22})$ .

multaneously, and the intersection shows up as the null contour in a contour map of  $V_2-V_1$  versus the two varying coordinates. Figures 9(a)–9(c) show such contour plots of conical intersections seams in the  $C_{2v}$  manifold. We further lower the symmetry from  $C_{2v}$  to  $C_s$  to explore the four-dimensional  $C_s$  seam. This is done by choosing a  $C_{2v}$  geometry from the conical intersection seam in Fig. 9 and varying any one of the internal coordinates with the remaining four fixed. The conical intersection then shows up as a point as shown in Figs. 10(a)–10(f). Additional cuts of  $C_s$  geometries where the conical intersection shows up as a point are shown in Fig. 11. With analytic fits to the diabats, it is relatively straight forward to make plots like Figs. 8–11 that completely map out the conical intersection scheme. These figures show that it is now possible to fully map out a conical intersection scheme rather than simply searching for isolated high-symmetry and low-symmetry points on the seam.

## V. CONCLUSIONS

In this paper we have used the fourfold-way diabatization scheme to obtain diabatic potential-energy surfaces and



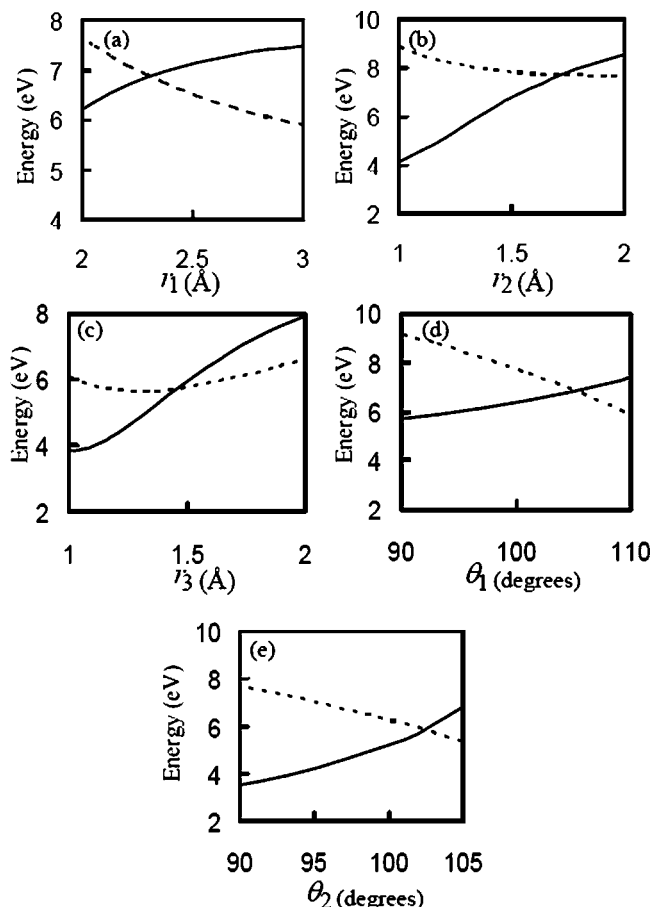


FIG. 11. Cuts through the diabatic potential-energy surfaces of ammonia,  $U_{11}$  (solid) and  $U_{22}$  (dashed), for  $C_s$  geometries along one internal coordinate with the rest of the coordinates fixed in planar geometry. The fixed internal coordinates are (a)  $r_2=r_3=1.08$  Å,  $\theta_1=103^\circ$ , and  $\theta_2=89^\circ$ ; (b)  $r_1=1.5$  Å,  $r_3=1.4$  Å,  $\theta_1=103^\circ$ , and  $\theta_2=89^\circ$ ; (c)  $r_1=1.65$  Å,  $r_2=1.1$  Å,  $\theta_1=102^\circ$ , and  $\theta_2=150^\circ$ ; (d)  $r_1=1.45$  Å,  $r_2=1.25$  Å,  $r_3=1.17$  Å, and  $\theta_2=120^\circ$ ; (e)  $r_1=1.5$  Å,  $r_2=1.1$  Å,  $r_3=1.2$  Å, and  $\theta_1=127^\circ$ . For planar geometries, the adiabats are  $V_1=\min(U_{11}, U_{22})$  and  $V_2=\max(U_{11}, U_{22})$ .

couplings for ammonia. The method performed quite satisfactorily and yields globally smooth diabatic potentials. We then fit analytic global functions incorporating the correct permutation symmetry. This method allows us to avoid the problem of fitting surfaces in the adiabatic representation where conical intersections and avoided crossings are not smooth. The direct diabatic calculations not only make fitting the potential-energy surface more convenient and provide an unprecedented analytic representation of a four-dimensional intersection seam, they also provide a scalar, smooth, and a singularity-free representation of the potential-energy surfaces and couplings for dynamical calculations.

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